

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PAETZOLD et al

Serial No.: 09/929,516

Filed: August 13, 2001



Group No.: 2671

Examiner: Unknown

Docket No. EYEM1340

COPY OF PAPERS
ORIGINALLY FILED

For: **METHOD AND SYSTEM FOR GENERATING FACIAL ANIMATION VALUES BASED
ON A COMBINATION OF VISUAL AND AUDIO INFORMATION**

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 21 February 2002, in an envelope addressed to:
Assistant Commissioner for Patents, 2900 Crystal Drive, Arlington, VA 22202

21 February 2002
Date

Terrance A. Meador
Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAR 28 2002

Technology Center 2600

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Please issue a corrected Filing Receipt for the subject patent application correcting the error(s) indicated in red ink on the attached copy of the receipt. The corrections complete the priority information for the above-referenced application as amended by the Preliminary Amendment submitted on December 7, 2001 (copy enclosed).

Applicants accordingly request(s) the corrections be made of record.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 07-1895. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Terrance A. Meador

Date: 21 February 2002

TERRANCE A. MEADOR
Reg. No. 30,298

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

Phone: 858/638-6747 - Fax: 858/638-6727

Gray Cary\GT\6279397.1
103353-165425

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PAETZOLD et al

Serial No.: 09/929,516

Filed: August 13, 2001



Group No.: 2671

Examiner: Unknown

Docket No. EYEM1340

COPY OF PAPERS
ORIGINAL FILED

For: **METHOD AND SYSTEM FOR GENERATING FACIAL ANIMATION VALUES BASED ON A COMBINATION OF VISUAL AND AUDIO INFORMATION**

CERTIFICATION UNDER 37 CFR § 1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date <u>12/07/01</u> , in an envelope addressed to:	
Assistant Commissioner for Patents, Washington, D.C. 20231	
Date <u>12/27/01</u>	Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

COPY

Sir:

PRELIMINARY AMENDMENT AND CLAIM OF PRIORITY

In advance of the first examination in this case, Applicants request amendment of the subject application, as follows:

RECEIVED

MAR 28 2002

Technology Center 2600

IN THE SPECIFICATION

Page 1, immediately following the title, please insert the following:

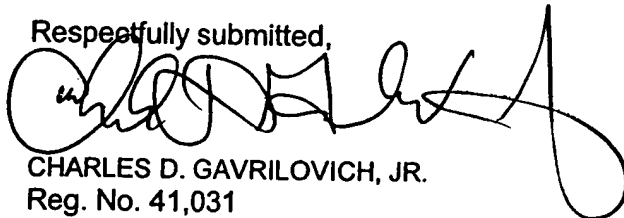
Priority is claimed to U.S. Patent Application Serial No. 09/871,370, filed May 31, 2001, which is a continuation of U.S. Patent Application Serial No. 09/188,079, filed November 6, 1998, now U.S. Patent No. 6,272,231, which claims priority from U.S. Provisional Application No. 60/081,615, filed April 13, 1998 and are incorporated herein by this reference.

REMARKS

The specification has been amended to amend the claim of priority.

This Preliminary Amendment is submitted in advance of the first examination of the subject application. No new matter has been entered.

Respectfully submitted,



CHARLES D. GAVRILOVICH, JR.
Reg. No. 41,031

Date:

12/07/01

GRAY CARY WARE & FREIDENRICH
4365 Executive Drive, Suite 1100
San Diego, CA 92121-2133

Telephone: 858/638-6743 Fax: 858/638-6727



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/929,516	08/13/2001	2671	435	EYEM1340	3	16	2

25548

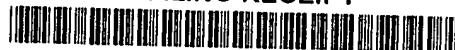
TERRANCE A. MEADOR
GRAY CARY WARE & FREIDENRICH, LLP
4365 EXECUTIVE DRIVE
SUITE 1100
SAN DIEGO, CA 92121-2133



COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 8236

UPDATED FILING RECEIPT



OC000000007383716

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank Paetzold, Venice, CA;
Ulrich F. Buddemeier, Venice, CA;
Yevgeniy V. Dzhurinskiy, Santa Monica, CA;
Karin M. Derlich, Culver City, CA;
Hartmut Neven, Santa Monica, CA;

RECEIVED

MAR 2 8 2002

Technology Center 2600

Domestic Priority data as claimed by applicant

Priority is claimed to US 08/137,370, filed 5-31-01

Foreign Applications

which is a continuation of US 08/137,370, filed 5-31-01, which claims priority to US Provisional 08/081,615, filed 5-03

If Required, Foreign Filing License Granted 09/14/2001

Projected Publication Date: 02/13/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

RECEIVED

FEB 07 2002

GRAYCARY/J.VAIL

Title

Method and system for generating facial animation values based on a combination of visual and audio information